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Docket No. 741440-58
Serial No. 10/809,874
Page 1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

*File
purpose*

In re Patent Application of:)
Ryoichi FUKUMOTO et al.) Group Art Unit: 1625
Serial No. 10/809,874) Examiner:
Filed: March 26, 2004) Confirmation No. 7617
For: 4-OXOQUINOLIZINE) Date: September 1, 2004
ANTIBACTERIAL AGENT HAVING 2-)
PYRIDONE SKELETON AS PARTIAL)
STRUCTURE)

PRELIMINARY AMENDMENT

Mail Stop _____
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Prior to Examination of the above identified application, please amend such application as follows.

09/15/2004 RHARNON 00000002 192300 10809874
01 FC:1202 54.00 DA



23026 U.S. PTO.

22856 U.S. PTO
10/809874

032604

REQUEST FOR FILING A CONTINUATION OR DIVISION OF AN INTERNATIONAL APPLICATION

DOCKET NUMBER	ANTICIPATED CLASSIFICATION OF THIS APPLICATION		PRIOR APPLICATION EXAMINER		ART UNIT
741440-58	CLASS	SUBCLASS			

Address to:

Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

This is a request for filing a ☒ continuation ☐ divisional application under 37 CFR 1.53(b) of pending prior international application Number PCT/JP02/10103, filed on September 27, 2002, entitled 4-OXOQUINOLIZINE ANTIBACTERIAL AGENT HAVING 2-PYRIDONE SKELETON AS PARTIAL STRUCTURE, which designated the United States.

Note: 37 CFR 1.53(d) cannot be used to file a continuation or divisional application of an international application which has not entered the national stage.

CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
	TOTAL CLAIMS (37 CFR 1.16(c))	2 - 20 =	0	x \$ _____ =	\$
	INDEPENDENT CLAIMS (37 CFR 1.16(b))	1 - 3 =	0	x \$ _____ =	\$
	MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d))			+ \$ _____ =	\$
				BASIC FEE (37 CFR 1.16(a))	\$770.00
				Total of above Calculations =	\$770.00
	Reduction by 50% for filing by small entity (Note 37 CFR 1.27).				
				Assignment Recordation Fee	\$40.00
				Petition Fee	\$130.00
				Total =	\$940.00

1. Enclosed are the specification, claims and drawing(s).
 2. ☐ Applicant claims small entity status. See 37 CFR 1.27.
 3. ☒ The Commissioner is hereby authorized to charge any fees which may be required under 37 CFR 1.16 and 1.17, or credit any overpayment to Deposit Account No. 19-2380. A duplicate copy of this sheet is enclosed.
 4. ☐ A check in the amount of \$ _____ is enclosed.
 5. ☐ Payment by credit card. Form PTO-2038 is attached.
 6. ☒ Application Data Sheet is enclosed. See 37 CFR 1.76.
 7. ☒ Amend the specification by inserting before the first line the sentence: "This application is a ☒ continuation ☐ division of international application number PCT/JP02/10103, filed September 27, 2002. (status, abandoned, pending, etc.)"
 8. ☒ A declaration under 37 CFR 1.63 is enclosed.
 9. ☒ Priority of foreign application numbers 2001-299088 filed September 28, 2001 and 2001-326133 filed October 24, 2001 in Japan is claimed under 35 U.S.C. 119(a)-(d).
- ☒ The certified copies are enclosed.

REQUEST FOR FILING A CONTINUATION OR DIVISION OF AN INTERNATIONAL APPLICATION

10. ☐ A preliminary amendment is enclosed.

11. ☒ Also enclosed:
Assignment Document
Request For Retaining Application Under 37 C.F.R. §1.21(l)

Address all future correspondence to: (May only be completed by applicant, or attorney or agent of record).

NIXON PEABODY LLP
401 9th Street, N.W
Suite 900
Washington, D.C. 20004-2128

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

March 25, 2004
Date


Signature

Donald R. Studebaker
Typed or printed name

- ☐ Inventor(s)/Applicant(s)
- ☐ Assignee of the entire interest. See 37 CFR 3.71. Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).
- ☒ Attorney or agent of record
- ☐ Filed under 37 CFR 1.34(a)
Registration number if acting under 37 CFR 1.34(a) _____.

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below*.

☐ *Total of _____ forms are submitted.